CALIFORNIA SCHOOL FINANCE AUTHORITY
LEGAL STATUS QUESTIONNAIRE

1. Disclose material information relating to any legal or regulatory proceeding or investigation in which the borrower, charter school management organization, or any charter school benefiting from financing proceeds is or has been a party and which might have a material impact on the financial viability of the project or the borrower, charter school management organization, or any charter school benefiting from financing proceeds. Such disclosures should include any parent, subsidiary, or affiliate of the borrower, charter school management organization, or any charter school benefiting from financing proceeds that is involved in the management, operation, or development of the project.

    Response: _____

2. Disclose any civil, criminal, or regulatory action in which the borrower, charter school management organization, or any charter school benefiting from financing proceeds, or any current board members (not including volunteer board members of non-profit entities), partners, limited liability corporation members, senior officers, or senior management personnel has been named a defendant in such action in the past years involving fraud or corruption, matters related to employment conditions (including, but not limited to wage claims, discrimination, or harassment), or matters involving health and safety where there are allegations of serious harm to employees, the public or the environment.

    Response: _____

Disclosures should include civil or criminal cases filed in state or federal court, civil or criminal investigations by local, state or federal law enforcement authorities, and enforcement proceedings or investigations by local, state or federal regulatory agencies. The information provided must include relevant dates, the nature of the allegation(s), charges, complaint or filing, and the outcome.

Certifications on behalf of (enter school or entity name): _____

I/We attest that we have provided full disclosure as indicated in response to the items #1 and #2 above.

_____   _____
Signature of Principal, CEO, or Lead Administrator   Date

Print or Type Name: _____

_____   _____
Signature of President or Chair of Governing Board   Date

Print or Type Name: _____