



CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY

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ADDENDUM NOTICE NO. 3

CALIFORNIA ALTERNATIVE ENERGY AND ADVANCED TRANSPORTATION FINANCING AUTHORITY (CAEATFA)

REQUEST FOR PROPOSAL-Secondary CONTRACT NO. CAEATFA03-17 Property Assessed Clean Energy (PACE) Loss Reserve Program

March 8, 2018

CAEATFA has made the following changes, and corresponding textual revisions, to RFP No. CAEATFA03-17. These changes include updates to: the Section A.4. Scope of Work, Attachment 2, and Section F.

Updated Section A.4.Scope of Work:

The Scope of Work, Section 4, item b.ii is modified to reflect that annual site visits audits are optional at CAEATFA's discretion. This modification is also reflected in the Sample Standard Contract, Exhibit A.

b. Audit Implementation and Reports:

- i. The Contractor will implement the audit plan and conduct on-site audits of each Participant within six (6) months of audit plan approval. If there are findings as a result of the initial audit, additional research and review may be requested. Ad hoc audits may be performed as deemed necessary by CAEATFA.
- ii. The Contractor ~~will~~ **may, at CAEATFA's discretion, be required to** arrange site visits/audits with all Participants at least annually after the first audit. Ad hoc audits may be performed as deemed necessary by CAEATFA. **The audit may, at CAEATFA's discretion, rely on other publicly reported audits of PACE programs.**
- iii. For each audit conducted, the Contractor will evaluate the Participant's underwriting processes and controls, analyze the Participant's overall compliance with Program eligibility requirements, and prepare a written report to CAEATFA that shall identify and explain its approach and findings, including any sampled financings not compliant with Program eligibility requirements. The Contractor will also prepare a separate written report to provide to each applicable Participant.

Updated Contact Information:

The contact person for this RFP has been updated. This modification is also reflected in Section C.3.e of the RFP, and in the Sample Standard Contract, Exhibit A, Section 3, Project Representatives:

~~Angela Xavier~~ **Jennifer Gill**
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Updated Attachment 2:

The Proposal/Proposer Certification Sheet, Attachment 2 is modified to reflect that DVBE participation is optional. Bidders are not required to include DVBE participation in proposals, but responsive proposals that include DVBE participation will receive incentive preference as outlined in Attachment 12. Please be advised that ***all*** responsive proposals must include a completed Bidder Declaration GSPD-05-105 (Attachment 13), regardless of small business or DVBE participation.

ATTACHMENT 2

PROPOSAL/PROPOSER CERTIFICATION SHEET

This Proposal/Proposer Certification Sheet must be signed and returned along with all the "required attachments" as an entire package in duplicate with original signatures. The proposal must be transmitted in a sealed envelope in accordance with RFP instructions.

Do not return the RFP nor the "Sample Agreement" at the end of this RFP.

- A. Place all required attachments behind this certification sheet.
- B. I have read and understand ~~the DVBE Participation requirements and have included documentation demonstrating that I have met the participation goals of 3% or more.~~ that DVBE Participation requirements have been waived. DVBE participation is optional.
- C. The signature affixed hereon and dated certifies compliance with all the requirements of this proposal document. The signature below authorizes the verification of this certification.

Updated Section F, STD 213, Sample Standard Agreement:

Regarding RFP Number CAEATFA03-17, the term of the Agreement is hereby changed as follows:

- 2. The term of this Agreement is: ~~May 15, 2018~~ ~~April 16, 2018~~ through ~~May 14, 2020~~ ~~April 15, 2020~~ (plus optional one-year extension)

The date for submitting questions regarding RFP Number CAEATFA03-17 has passed. Should you require any clarifying information regarding this addendum, the contact person for this RFP is:

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